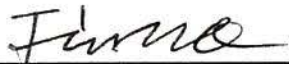


Notification Document for Transboundary Movements/Shipments of Waste

1. Exporter Registration N°: Name: SMI USA Address: 13005 Artesia Bl, Ste A104, Cerritos, CA 90703 Contact person: Jin Mo No Tel: 1-562-926-9955 Fax: 1-562-926-4608 Email: smi-usa@hotmail.com	3. Notification N°: Notification concerning A. (i) Individual shipment: <input type="checkbox"/> (ii) Multiple shipment: <input checked="" type="checkbox"/> B. (i) Disposal (1): <input type="checkbox"/> (ii) Recovery: <input type="checkbox"/> C. Pre-consented recovery facility (2;3) Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> 4. Total intended number of shipments: 60 5 Total intended quantity (4): Tonnes (Mg): 5,000 MT m3: 6. Intended period of time for shipment(s) (4): First departure: 2014.11.20 Last departure: 2015.11.19 7. Packaging type(s) (5) : Special handling requirements (6): Yes: No: 11. Disposal / recovery operation(s) (2) D code / R code (5) : R4 Technology employed (6) <p align="center">SEE ANNEX (2)</p> Reason for export (1;6): Recycling 12. Designation and composition of the waste (6) : ISRI Rink, Wet, Non-spillable LEAD ACID BATTERY SCRAP 13. Physical characteristics (5) : Solid 14. Waste identification (fill in relevant codes) (i) Basel Annex VIII (or IX if applicable): A1160 (ii) OECD code (if different from (i)): A1160 (iii) EC list of wastes: (iv) National code in country of export: D008, D002 (v) National code in country of import: 02-01-02 (vi) Other (specify): (vii) Y-code: Y31 / Y34 (viii) H-code (5): H11 / H6 (ix) UN class (5): class 8, class 9 (x) UN Number: UN 2794, UN2800 (xi) UN Shipping name: (xii) Customs code(s) (HS): 8548-10-0540												
2. Importer Registration N°: 107-87-96467 Name: Segyo World Bridge Co., LTD. Address: Rm303, 164-2 Dangsang-ro, Yeongdeungpo-gu, Seoul, Korea Contact person: Yu Woo Boon Tel: 82-2-332-4085 Fax: 82-505-116-4085 Email: segyowb@naver.com	8. Intended carrier(s) Registration N°: Name(7) : FAMEX Sea & Air Co., Ltd. Address: Rm 410, YWCA Bldg., 1-3, 1 GA Myung Dong Chung-gu, Seoul, South Korea Contact person: Tel: 82-2-730-3033 Fax: 82-2-730-3032 Email: famex@famexshipping.com Means of transport (5) : Road, Sea												
9. Waste generator(s) (1;7;8) Registration N°: Name: Address: SEE ANNEX (1) Contact person: Tel: Fax: Email: Site & process of generation (6)	10. Disposal facility (2) : <input type="checkbox"/> or Recovery facility (2) : <input checked="" type="checkbox"/> Registration N°: 603-81-65077 Name: Energy Networks Ltd. Address: 642-10 Sinpyeong-dong Saha-gu, Busan, Korea Contact person: Ji Su Park Tel: 82-2-332-4085 Fax: 82-505-116-4085 Email: segyowb@naver.com Actual site of disposal/recovery:												
15. (a) Countries/states concerned (b), code N° of competent authorities where applicable (c), specific points of exit or entry (border crossing or port) <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:25%;">State of Export</th> <th style="width:50%;">State(s) of Transit (entry and exit)</th> <th style="width:25%;">State of Import</th> </tr> </thead> <tbody> <tr> <td>(a) USA01</td> <td></td> <td>KOR1 ,Busan Port, Korea</td> </tr> <tr> <td>(b)SEE ANNEX (3)</td> <td></td> <td>Incheon Port, Korea</td> </tr> <tr> <td>(c)</td> <td></td> <td>Kwangyang Port, Korea</td> </tr> </tbody> </table>		State of Export	State(s) of Transit (entry and exit)	State of Import	(a) USA01		KOR1 ,Busan Port, Korea	(b)SEE ANNEX (3)		Incheon Port, Korea	(c)		Kwangyang Port, Korea
State of Export	State(s) of Transit (entry and exit)	State of Import											
(a) USA01		KOR1 ,Busan Port, Korea											
(b)SEE ANNEX (3)		Incheon Port, Korea											
(c)		Kwangyang Port, Korea											
16. Customs offices of entry and/or departure (European Community): Entry: Exit: Export:													
17. Exporter's / Generator's (1) declaration: I certify that the information is complete and correct to my best knowledge. I also certify that legally-enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantee is or shall be in force covering the transboundary movement. Name: Jin Mo No Signature:  Date: October 16, 2014													
18. Number of annexes attached 3													
FOR USE BY COMPETENT AUTHORITIES													
19. Acknowledgement from the relevant competent authority of countries of import / transit (1) / export (9) : Country: Notification received on: Acknowledgement sent on: Name of competent authority: Stamp and/or signature:	20. Written consent (1;8) to the movement provided by the competent authority of (country): Consent given on: Consent valid from: until: Specific conditions: No: <input type="checkbox"/> If Yes, see block 21 (6): <input type="checkbox"/> Name of competent authority: Stamp and/or signature:												
21. SPECIFIC CONDITIONS ON CONSENTING TO THE MOVEMENT OR REASONS FOR OBJECTING													

- | | |
|---|---|
| (1) Required by the Basel Convention
(2) In case of R12/R13 or D13-D15 operation, also attach corresponding information on the subsequent R1-R11 or D1-D12 facility(ies) when required.
(3) To be completed for movements within the OECD area and only if B(ii) applies.
(4) Attach detailed list if multiple shipments | (5) See list of abbreviations and codes on the next page
(6) Attach details if necessary
(7) Attach list if more than one
(8) If required by national legislation
(9) If applicable under the OECD Decision |
|---|---|

List of Abbreviations and Codes Used in the Notification Document

DISPOSAL OPERATIONS (Block 11)

- D1 Deposit into or onto land, (e.g., landfill, etc.)
- D2 Land treatment, (e.g., biodegradation of liquid or sludgy discards in soils, etc.)
- D3 Deep injection, (e.g., injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.)
- D4 Surface impoundment, (e.g., placement of liquid or sludge discards into pits, ponds or lagoons, etc.)
- D5 Specially engineered landfill, (e.g., placement into lined discrete cells which are capped and isolated from one another and the environment, etc.)
- D6 Release into a water body except seas/oceans
- D7 Release into seas/oceans including sea-bed insertion
- D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list
- D9 Physico- chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list (e.g., evaporation, drying, calcination, etc.)
- D10 Incineration on land
- D11 Incineration at sea
- D12 Permanent storage, (e.g., emplacement of containers in a mine, etc.)
- D13 Blending or mixing prior to submission to any of the operations in this list
- D14 Repackaging prior to submission to any of the operations in this list
- D15 Storage pending any of the operations numbered in this list

RECOVERY OPERATIONS (Block 11)

- R1 Use as a fuel (other than in direct incineration) or other means to generate energy
- R2 Solvent reclamation/regeneration
- R3 Recycling/reclamation of organic substances which are not used as solvents
- R4 Recycling/reclamation of metals and metal compounds
- R5 Recycling/reclamation of other inorganic materials
- R6 Regeneration of acids or bases
- R7 Recovery of components used for pollution abatement
- R8 Recovery of components from catalysts
- R9 Used oil re-refining or other reuses of previously used oil
- R10 Land treatment resulting in benefit to agriculture or ecological improvement
- R11 Uses of residual materials obtained from any of the operations numbered R1-R10
- R12 Exchange of wastes for submission to any of the operations numbered R1-R11
- R13 Accumulation of material intended for any operation in this list.

PACKAGING TYPES (Block 7)

- 1. Drum
- 2. Wooden barrel
- 3. Jerrican
- 4. Box
- 5. Bag
- 6. Composite packaging
- 7. Pressure receptacle
- 8. Bulk
- 9. Other (specify)

MEANS OF TRANSPORT (Block 8)

R = Road
T = Train/Rail
S = Sea
A = Air
W = Inland Waterways

PHYSICAL CHARACTERISTICS (Block 13)

- 1. Powdery/powder
- 2. Solid
- 3. Viscous/paste
- 4. Sludgy
- 5. Liquid
- 6. Gaseous
- 7. Other (specify)

H CODE AND UN CLASS (Block 14)

UN	H code	Characteristics
1	H1	Explosive
3	H3	Flammable liquids
4.1	H4.1	Flammable solids
4.2	H4.2	Substances or wastes liable to spontaneous combustion
4.3	H4.3	Substances or wastes which, in contact with water, emit flammable gases
5.1	H5.1	Oxidizing
5.2	H5.2	Organic peroxides
6.1	H6.1	Poisonous (acute)
6.2	H6.2	Infectious substances
8	H8	Corrosives
9	H10	Liberation of toxic gases in contact with air or water
9	H11	Toxic (delayed or chronic)
9	H12	Ecotoxic
9	H13	Capable, by any means, after disposal of yielding another material e.g. leachate, which possesses any of the characteristics listed above

Further information, in particular related to waste identification (block 14), i.e. on Basel Annexes VIII and IX codes, OECD codes and Y codes in a Guidance/Instruction Manual available from OECD and the Secretariat of the Basel Convention.

ANNEX 1

Item # 9: Waste Generators:

- 1 Company: ALFA ENTERPRISES USA INC
Address: 2451 MCMULLEN BOOTH ROAD
CLEARWATER, FL 33759
Contact Person: DEEPESH OBEROI
Tel: 727 725 3858 Fax: 727 490 4409
Email: alfaenterprisesusa@gmail.com

- 2 Company: AABCON
Address: 4140 Fountainside Ln, # 102, Fairfax,
VA 22030, USA
Contact Person: Dhaval Shrimankar
Tel: 703 944 7878 Fax: 703 991 7686
Email: info@aabcon.com

- 3 Company: HARVEST UNIVERSAL CORPORATION
Address: 3657 Nina Court, Loganville,
GA 30052
Contact Person: Albert Bar
Tel: 770 554 0621 Fax: 770 554 9483
Email: albertbaw@bellsouth.net

- 4 Company: BULLFROG AUTO TRANSPORT LLC
Address: 906 Napoleon Rd., Bowling Green
Ohio 43402
Contact Person: Matthew Fagan
Tel: 419-409-0677 Fax: 419 352 7117
Email: matthewfagan@gmail.com

- 5 Company: INTERNATIONAL CORE SUPPLY
Address: 3417 E. Columbus Dr.
Tampa, FL 33605
Contact Person: Tee Sethi
Tel: 813 247 5811 Fax: 812 514 1179
Email: tee24ics@aol.com

ANNEX 1

Item # 11: Disposal/ Recovery Operation Technology employed:

Plastic container is sent to a plastic recycler

Lead Plates *Paste - to a smelter

 *Grids - Melting Furnace

Lead Terminals - Melting Furnace

Plate Separators - converted to a Fuel Source by another recycler

Acid Electrolyte is Neutralized, discharged and reclaimed

***Above Technology is applied by the recovery facility in the importing country, all Lead Acid Battery Scrap exported are whole, intact and not broken.

ANNEX 3

Item # 15: State of Export:

- Baltimore, Maryland
- Charleston, South Carolina
- Honolulu Harbor, Hawaii (New)
- Houston / Galveston, Texas
- Jacksonville, Florida
- Long Beach / Los Angeles, California
- Miami, Florida
- New York, New York
- Pago Pago Port, American Samoa
- Port of Anchorage, Alaska (New)
- Port Everglades, Florida
- Port of Virginia / Norfolk, Virginia
- Saipan Port, North Marianas
- San Francisco/Oakland, California
- San Juan Port, Puerto Rico (New)
- Savannah, Georgia
- Seattle / Tacoma, Washington
- Wilmington, North Carolina
- Guam Port, Guam
- Wilfred Bomba Allick Port, Virgin Islands

SMI USA

13005 Artesia Bl, Ste A104, Cerritos, CA 90703
Tel : 1-562-926-9955 Fax : 1-562-926-4608

October 16, 2014

ATTN: OECD Export Notification

Office of Enforcement and Compliance Assurance

Office of Federal Activities

International Compliance Assurance Division

Environmental Protection Agency

Ariel Rios Building, Room 6144

12th St. and Pennsylvania Ave., NW

Washington, DC 20004

From: SMI USA

13005 Artesia Bl, Ste A104,
Cerritos, CA 90703

“ATTN: OECD Export Notification Renewal – Pre-approved Facility”

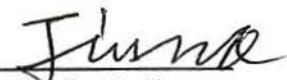
Dear Ms. Jana,

We would like to apply for the renewal of our **Spent Lead Acid Battery Export License from USA to South Korea**, through Korean Importer, Seqyo World Bridge Co., LTD. , the disposal facility from this license is Energy Networks LTD.

Kindly see attached OECD Notification form as supporting Document to this intention.

Your prompt action on the matter is highly appreciated.

Best Regards,



Jin Mo No

SMI USA

P.S.

The Korean Environment Office for this Region Through which the Notification for Hazardous Waste will be sent is as Follows:

- * Nakdong Basin Environmental Office
Sinwol-dong, Uichang-gu, Changwon-si,
Gyeongsangnam-do, Korea 641-722
- * Contact Person: Shin Dong Min
Tel. No: 82-55-211-1637
Fax. No.: 82-55-211-1649